



CIN No.: L51909PB1998PLC050300
Mob.: +91-9435046554, 9876434000

ANMOL INDIA LTD.

Web : www.anmolindialtd.com | Android : www.goo.gl/DCvQ6Q

23rd June, 2021

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|---|--|
| The Listing Department National Stock Exchange of India Ltd Exchange Plaza, C-1, Block G Bandra Kurla Complex, Bandra (E) Mumbai- 400051 NSE Scrip Code: ANMOL | Corporate Service Department Bombay Stock Exchange Limited 25 th Floor, P J Towers Dalal Street, Fort Mumbai- 400001 BSE Scrip Code: 542437 |
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Sub: Submission of Annual Secretarial Compliance Report for the financial year ended 31st March, 2021.

Dear Sir/ Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by Securities Exchange Board of India, please find attached Annual Secretarial Compliance Report (ASCR) for the financial year ended 31st March, 2021, issued by M/s Reecha Goel & Associates, Practicing Company Secretaries, Phillaur.

This is for your information and records.

Thanking You

Yours Faithfully,
For Anmol India Limited

Parabhjot Kaur
Parabhjot Kaur
Company Secretary & Compliance Officer

Encl.: As Above

REGD OFFICE:

IInd Floor, 2/43, B-Block
Aggar Nagar, Ludhiana
Punjab-141001
Ph.: 0161-4503400

BRANCH OFFICE:

Office No. A-24, Kutch Archade, Ground Floor
Survey No. 234, By 1 and 235, Mithi Rohar
Gandhidham, Kutch, Gujarat-370201
GST: 24AADCA3712DIZE
M: +91-99786-33197

BRANCH OFFICE:

1, New Grain Market,
Kapurthala, Punjab-144601
GST: 03AADCA3712D1ZI
Ph.: 0182-2237600

REECHA GOEL & ASSOCIATES

COMPANY SECRETARIES

Opposite Sachdeva Autos

Civil Lines, PHILLAUR

(M): 098148-09461

Secretarial Compliance Report of Anmol India Limited for the year ended 31st March, 2021

To,
The Board of Directors,
Anmol India Limited,
2ND FLOOR, 2/43, B-BLOCK AGGAR NAGAR
Ludhiana PB 141001.

We, Reecha Goel & Associates, Practicing Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by Anmol India Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) The SEBI Act, 1992 and the Regulations, circulars guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956, rules made thereunder and the regulations, circulars, guidelines issued thereunder by the SEBI.

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The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) SEBI (Buyback of Securities) Regulations, 2018;(Not applicable for the review period);
- (e) SEBI (Share-Based Employee Benefits) Regulations, 2014;(Not applicable for the review period);
- (f) SEBI (Issue and Listing of Debt Securities) Regulations, 2008;(Not applicable for the review period);
- (g) SEBI (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; (Not applicable for the review period);
- (h) SEBI (Prohibition of Insider Trading) Regulations, 2015;
- (i) SEBI (Registrars to an issue and Share Transfer Agents) Regulations, 1993;

and circulars/guidelines issued thereunder; and based on the above examination, we hereby report that during the Review Period:



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- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under, except in respect of matters specified below:-

| Sr. No. | Compliance Requirement (Regulations/ circulars/ guidelines including specific clause) | Deviations | Observations/ Remarks of the Practicing Company Secretary |
|---------|---|--|--|
| 1. | Regulation 29(2)/29 (3) of SEBI(LODR), Regulations, 2015 | There is delay in submission of the board meeting notice at BSE under regulation 29(2)/29(3), for approval of the un-audited financial results for the half year ended 30th September, 2020. | There was a delay of 1 day in submission of the board meeting notice under regulation 29(2)/29(3), for approval of the un-audited financial results for the half year ended 30 th September, 2020. The delay was due to the technical snag in the company IT system and delay in getting IT support due to Covid situation. |
| 2. | Regulation 34 of SEBI(LODR), Regulations, 2015 | Delay in filing of Annual report for the period 2019-20, with BSE | The listed entity was required to submit its Annual Report for the FY19-20 not later than 21 days from date of AGM. There was a delay of 2 days in submission of the annual report for the FY 2019-20. The delay was due to software/ IT reasons, as concerned staffs were working from home due to covid situation and the technical resolution of problem took some time to resolve. |

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(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued thereunder:

| Sr. No. | Action taken by | Details of violation | Details of action taken E.g. fines, warning letter, debarment, etc. | Observations/ remarks of the Practicing Company Secretary, if any. |
|---------|-----------------|---|--|--|
| 1. | BSE | There is delay in submission of the board meeting notice at BSE under regulation 29(2)/ 29(3), for approval of the un-audited financial results for the half year ended 30th September, 2020. | Fine amounting to Rs. 11800/- was imposed upon the company for non compliance of Regulation 29(2)/ 29(3) of SEBI (LODR), Regulations, 2015 by BSE. | The company has paid the fine in this regard. |
| 2. | BSE | Delay in filing of Annual report Regulation 34 of SEBI (LODR), Regulations, 2015 for the period 2019-20, with BSE | Fine amounting to Rs. 2360/- was imposed upon the company for non compliance of Regulation 34 of SEBI (LODR), Regulations, 2015 by BSE | The company has paid the fine in this regard. |

(d) The listed entity has taken the following actions to comply with the observations made in previous reports : **NOT APPLICABLE**



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| Sr. No | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 31.03.2020 | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---|---|---|---|---|
| <p>The Secretarial Compliance report was introduced by the SEBI vide Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019 from the financial year 2018-19 and at that time period Company was listed on SME platform Of BSE. It got listed on main board of BSE and NSE together on 02.06.2021. Accordingly, this being the first financial year of applicability of this report, the previous year's comparative information is not available. Hence, this information is not provided.</p> | | | | |

For ~~Reecha~~ Reecha Goel & Associates
Company Secretaries

(Reecha Gupta)
Prop.

FCS : 6562
C P No.: 7012

Place: PHILLAUR
Date: 21.06.2021
UDIN: F006562C000492960